

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yoshinao NAGASHIMA, et al.

SERIAL NO: New Application

GAU:

FILED: Herewith

EXAMINER:

FOR: AUTONOMIC NERVE REGULATING AGENT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references were cited on an International Search Report and in U.S. Application Serial No. 09/972,887 pending, filed October 10, 2001, which is a Continuation of Prior International PCT Application No. PCT/JP01/00928, filed February 9, 2001 listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Norman F. Oblon

Registration No. 24,618



22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Thomas W. Barnes III, Ph.D.  
Registration No. 52,595

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>240950US0DIV</b>		SERIAL NO. <b>New Application</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Yoshinao NAGASHIMA, et al.</b>			
				FILING DATE <b>Herewith</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES      NO		
	AO	WO 1/58435 A1	8/16/01	WIPO			
	AP	O 183 436 A2	11/13/85	EPO			
	AQ	H10-25245	1/27/98	JAPAN			
	AR	H11-343497	12/14/99	JAPAN			
	AS	H4-128234	4/28/92	JAPAN			
	AT	H6-40911	2/15/94	JAPAN			
	AU	H5-255688	10/5/93	JAPAN			
	AV	2000-42125	2/15/00	JAPAN			
	AW	H10-113369	5/6/98	JAPAN			
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AX	Toshimitsu MUSA, Ph.D., Shin KIMURA, M.S., Ken-Ichi KANEKO, Kiyoka NISHIDA, and Kazuo DEKINE, Emotion Spectrum Analysis Method (ESAM) for Monitoring the Effects of Art Therapy Applied on Demented Patients, <i>CyberPsychology &amp; Behavior</i> , Vol. 3, No. 3, 2000, pp. 441-446, Mary Ann Liebert, Inc.					
	AY	Kazuhiko SAWADA, Ryoichi KOMAKI, Yoshikuni YAMASHITA, Yasushi SUZUKI and Seichi ISHIYAMA, Physiological Effects of Volatile Components in Forest, Kanebo Co. Ltd. Cosmetic Laboratory and Research Institute of Human Engineering for Quality Life, Odawara 250-0002.					
	AZ	A Manual of Standardized Terminology, Techniques and Scoring System for Sleep Stages of Human Subjects; Allan Rechtschaffen, et al., 1968.					
					<input type="checkbox"/> Additional References sheet(s) attached		
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO.  240945US0DIV		SERIAL NO.  New Application	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT  Yoshinao NAGASHIMA, et al.			
				FILING DATE  Herewith		GROUP	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AAA	Abstract, Patent No. JP 10025245, Application No. JP 96182349, January 27, 1998, "Oral Hypnotic Agent used for Medicines, Foods, Drinks and Feeds Contain Essential Oil of Sandalwood."					
	AAB	Abstract, Patent No. JP 11343497, Application No. JP 98188008, December 14, 1999, "Cosmetics Showing Sedative and Hypnotic Effect Comprising Flavour of Rosemary, Lavendar, Bitter Orange or Senkyu."					
	AAC	Abstract, Patent No. JP 4128234, Application No. JP 90249716, April 28, 1992, "New Sleep Promoting Agent Comprising Bitter Orange Essential Oil, Formulated for Absorption through the Nasal Mucosa or the Lungs."					
	AAD	Abstract, Patent No. JP 6040911, February 16, 1994, Application No. JP 92195333, July 22, 1992, "Sleep Promoter Containing Jasmine Lactone as Active Component is in the Form of Preparation where Active Component is Absorbed from Lung or Nose or Mouth Mucosa."					
	AAE	Abstract, Patent No. JP 5255688, October 5, 1993, Application No. JP 9289811, March 13, 1992, "Perfume Containing Sedative Essential Oil has Durable Sedative Effect."					
	AAF	Abstract, Patent No. JP 10113369, May 6, 1998, Application No. 9770225, March 24, 1997, (WO 9807403), "Cosmetological Method for Achieving Valuable Cosmetic Effects Easily by Massage Comprising Massage Carried Out by Ordinary People Along Arterial and then Venous Blood Flow Paths using Cosmetic Containing Disintegrative Particles."					
	AAG	Sawada, et al., 132CA:332157, 1999.					
	AAH	Binet, et al., 78CA:24110, 1972.					
	AAI						
	AAJ						
	AAK						
	AAL						
	AAM						
	AAN						
	AAO						
	AAP						
	AAQ						
Examiner						Date Considered	
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							